

# Theranostic Layer-by-Layer Nanoparticles for Simultaneous Tumor Detection and Gene Silencing

Natalie Boehnke<sup>+</sup>, Santiago Correa<sup>+</sup>, Liangliang Hao<sup>+</sup>, Wade Wang, Joelle P. Straehla, Sangeeta N. Bhatia, and Paula T. Hammond\*

**Abstract:** Layer-by-layer nanoparticles (NPs) are modular drug delivery vehicles that incorporate multiple functional materials through sequential deposition of polyelectrolytes onto charged nanoparticle cores. Herein, we combined the multicomponent features and tumor targeting capabilities of layer-by-layer assembly with functional biosensing peptides to create a new class of nanotheranostics. These NPs encapsulate a high weight percentage of siRNA while also carrying a synthetic biosensing peptide on the surface that is cleaved into a urinary reporter upon exposure to specific proteases overexpressed in the tumor microenvironment. Importantly, this biosensor reports back on a molecular signature characteristic to metastatic tumors and associated with poor prognosis, MMP9 protease overexpression. This nanotheranostic mediates noninvasive urinary-based diagnostics in mouse models of three different cancers with simultaneous gene silencing in flank and metastatic mouse models of ovarian cancer.

## Introduction

The term nanotheranostics refers to multifunctional nanotechnology capable of interweaving therapeutic and diagnostic utilities.<sup>[1]</sup> In the context of cancer, nanotheranostics have been applied to bulk tumor imaging, but emerging versions now aim to provide molecular and cellular informa-

tion about the tumor that can allow for patient stratification and treatment personalization. This is typically achieved by combining both an imaging probe and a therapy in a single drug delivery vehicle.<sup>[1]</sup> Such an ability is particularly of interest in cancer types for which monitoring of disease can be relatively difficult, including ovarian and pancreatic cancers, and metastatic cancers of all types. In such systems, the ability to target the tumor cells and tissues of interest can greatly enhance the efficiency and efficacy of such theranostic systems.

Although imaging provides local information of the disease sites, obtaining information on precise pathological changes requires the use of more dynamic molecular imaging probes capable of identifying signature features of disease. Recently, urinary-based detection methods have been developed using biosensing peptides to distinguish pathological extracellular matrix protein signatures when attached to nanoparticles (NPs).<sup>[2]</sup> These peptides are designed to be activated by particular proteases overexpressed in the cancer microenvironment. When cleaved by target proteases, a synthetic biomarker is released from the NP, which can then be detected in the urine. Such an approach noninvasively provides both tumor detection and information about the protease environment, which is known to correlate with patient prognosis.<sup>[3]</sup>

[\*] Dr. N. Boehnke,<sup>[†]</sup> Dr. S. Correa,<sup>[†]</sup> Dr. L. Hao,<sup>[†]</sup> Dr. J. P. Straehla, Prof. S. N. Bhatia, Prof. P. T. Hammond  
Koch Institute for Integrative Cancer Research  
Massachusetts Institute of Technology  
Cambridge, MA 02139 (USA)  
Dr. S. Correa<sup>[†]</sup>  
Department of Bioengineering, Koch Institute for Integrative Cancer Research, Massachusetts Institute of Technology  
Cambridge, MA 02139 (USA)  
Dr. L. Hao,<sup>[†]</sup> Prof. S. N. Bhatia  
Institute for Medical Engineering and Science  
Massachusetts Institute of Technology  
Cambridge, MA 02139 (USA)  
Dr. W. Wang  
Department of Chemistry, Koch Institute for Integrative Cancer Research, Massachusetts Institute of Technology  
Cambridge, MA 02139 (USA)  
Dr. J. P. Straehla  
Department of Pediatric Oncology, Dana-Farber/Boston Children's Cancer and Blood Disorders Center  
Boston, MA 02115 (USA)

Prof. S. N. Bhatia  
Department of Medicine, Brigham and Women's Hospital and Harvard Medical School  
Boston, MA 02115 (USA)  
and  
Broad Institute of Massachusetts Institute of Technology and Harvard  
Cambridge, MA 02139 (USA)  
and  
Howard Hughes Medical Institute  
Cambridge, MA 02139 (USA)  
Prof. P. T. Hammond  
Department of Chemical Engineering  
Massachusetts Institute of Technology  
Cambridge, MA 02139 (USA)  
E-mail: hammond@mit.edu  
Dr. S. Correa<sup>[†]</sup>  
Current address: Materials Science and Engineering  
Stanford University  
496 Lomita Mall, Stanford, CA 94305 (USA)

[†] These authors contributed equally to this work.

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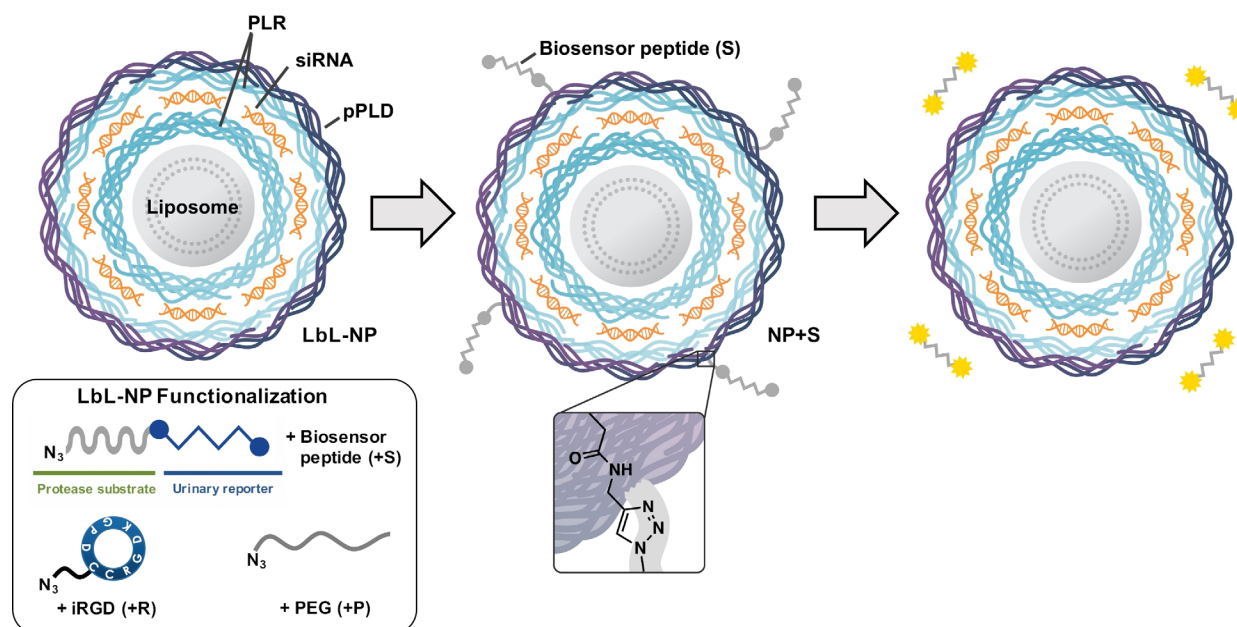
Integrating this biosensor peptide technology into the layer-by-layer (LbL) platform marks a significant development towards more sophisticated nanotheranostics. LbL assembly provides a natural route towards multifunctional nanotheranostics by introducing functional nanoscale polymer films onto colloidal substrates that serve to modulate drug release and extend plasma half-life.<sup>[4]</sup> In addition to easily interchanging the components that comprise the substrate and polyelectrolyte films, the LbL platform is distinctly capable of incorporating small molecule drugs,<sup>[5]</sup> therapeutic nucleic acids,<sup>[6]</sup> imaging modalities,<sup>[7]</sup> and molecular probes all within a discrete NP.<sup>[2b,7,8]</sup> Therefore, the modularity of this approach also lends itself towards personalizing such NPs to specific clinical contexts.

To achieve a theranostic LbL NP capable of dynamic monitoring of disease state, we opted to use copper(I)-catalyzed click conjugation chemistry to attach cleavable peptide linkers to the surfaces of LbL-coated liposomes modified with alkyne-functionalized macromolecules as outer layers (Scheme 1). While click chemistry techniques have been used previously to build multilayered assemblies on planar<sup>[9]</sup> and microparticle<sup>[10]</sup> substrates, and to cross-link hydrogen-bonded assembled LbL films,<sup>[11]</sup> limited efforts have been made to introduce this key technique to LbL NPs to add additional functionality. By employing click conjugation to modify LbL NPs, we provide a means to introduce multiple functional macromolecules that are otherwise inaccessible through traditional LbL assembly, including biosensing peptides and tumor penetrating peptides such as iRGD. We chose to incorporate iRGD as we have previously demonstrated its ability to enhance biosensor functionality owing to its tumor targeting and penetrating capabilities.<sup>[12]</sup> Using LbL NPs constructed from liposomal cores, small

interfering RNA (siRNA), and polypeptides, we demonstrate both the therapeutic and diagnostic utilities of this approach in vivo, using siRNA to silence a model gene and biosensing peptides to detect the MMP9 protease signature in a variety of metastatic tumor models.

## Results and Discussion

To produce biosensor LbL NPs, we first synthesized click-compatible LbL NPs through the sequential adsorption of poly-L-arginine (PLR), siRNA, and propargyl-modified poly-L-aspartate (pPLD) onto an anionic liposomal core using previously described conditions.<sup>[13]</sup> We chose to utilize liposomes as the colloidal substrate owing to their clinical precedence, ability to encapsulate both hydrophobic and hydrophilic drugs, tunable surface charge density, and compatibility with extrusion techniques that can yield monodisperse particles of specific sizes.<sup>[14]</sup> The PLR coatings were used owing to their well-documented ability to mediate transfection while avoiding nonspecific cytotoxicity.<sup>[15]</sup> A commercially available locked nucleic acid analog to siRNA was utilized in these particles owing to its stability to degradation by nucleases. The PLD outer layer was chosen to provide a strongly anionic surface that promotes colloidal stability and repels negatively charged serum proteins. Additionally, approximately 12% of the carboxylic side chains of the PLD were converted to propargyl groups to yield pPLD to allow for the downstream click conjugation with biosensor peptides and other neutral macromolecules (Supporting Information, Figure S1). The NP size, uniformity, and charge were tracked during LbL synthesis (Figure S2a,b), and the collective data demonstrated the controlled growth (from Z-



**Scheme 1.** Biosensor peptides can be conjugated onto siRNA-containing LbL liposomes through copper(I)-catalyzed click chemistry to create theranostic LbL NPs. In the tumor microenvironment, biosensor peptides are cleaved, and the resulting fragments can be non-invasively detected in the urine to monitor disease progression. PLR = poly-L-arginine, pPLD = propargyl-modified poly-L-aspartic acid.



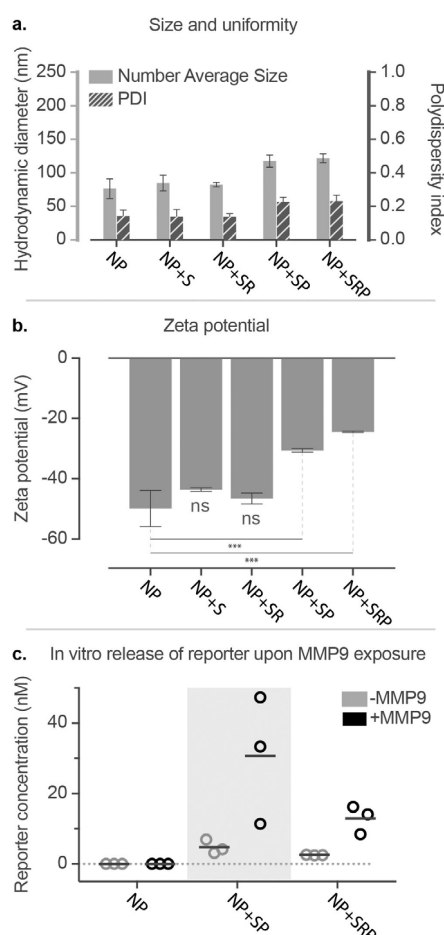
average diameter of  $95 \pm 1$  nm to  $124 \pm 3$  nm, polydispersity (PDI) below 0.2 throughout) and sequential charge reversal indicative of successful LbL assembly.

The therapeutic capabilities of this nanotheranostic hinge on efficient loading of siRNA to potently mediate gene silencing *in vivo*. We quantified the purification kinetics in order to determine the encapsulation efficiency of siRNA (Figure S2c,d). After siRNA deposition, permeate fractions were collected during tangential flow filtration and analyzed using a UV-spectrophotometer, indicating an encapsulation efficiency of 37% and a notably high weight percent loading of 41%. This corresponds to approximately 1000 siRNA molecules per particle.

Having confirmed that the LbL NPs possessed acceptable size, charge, and siRNA loading, we used modified conditions to conjugate various combinations of the MMP9 biosensor peptide (+S), iRGD targeting peptide (+R), and PEG pendants (+P) (Scheme 1, Figure 1). We used copper-catalyzed azide-alkyne cycloaddition to conjugate azide-functionalized substrates onto pPLD-coated LbL NPs.<sup>[16]</sup> Because

LbL NPs are easily aggregated in the presence of salts,<sup>[17]</sup> this method has advantages over traditional amide bond formation reactions in that it requires minimal buffering and exhibits high reactivity at low reagent concentrations, all of which reduce the ionic strength of the reaction medium. For the click conjugation reaction, a matrix of conditions was tested to determine optimal conditions for NP stability by varying absolute and relative concentrations of LbL NPs and azide-functionalized ligands while keeping constant concentrations of the remaining reagents. Of the tested conditions, we found that high NP concentrations ( $1 \text{ mg mL}^{-1}$ ,  $0.027 \mu\text{M}$  liposome core) with low azide-functionalized ligand concentration ( $0.28 \mu\text{M}$ ) consistently resulted in stable NPs with acceptable size (Table S1) and PDI values (Table S2). This corresponds to a molar ratio of approximately 10:1 peptide to NP (liposome core). While peptide loading is limited by the number of propargyl groups present on pPLD, the sensitivity of MMP9-responsive biosensors has been established to be very high, with detection of average tumor sizes of  $36 \text{ mm}^3$  possible in murine ovarian cancer models. This is due to the catalytic activity of the proteolytic enzyme that provides signal amplification, and kidney filtration and renal concentration of the cleaved fragments.<sup>[12]</sup> LbL NPs tolerated the click conjugation of the biosensor, iRGD, and PEG, yielding NPs with mean Z-average hydrodynamic diameters ranging from 121 to 184 nm, PDIs ranging from 0.14 to 0.23, and zeta potentials ranging from  $-24$  to  $-43 \text{ mV}$  (Figure 1). As expected, conjugation of PEG caused an increase in the hydrodynamic diameter (from  $124 \pm 3$  nm to  $184 \pm 15$  nm for NP + SP and  $175 \pm 4$  nm for NP + SRP) and a reduction in zeta potential (from  $-50 \pm 6$  mV to  $-30 \pm 1$  mV for NP + SP and  $-24 \pm 1$  mV for NP + SRP,  $p < 0.0001$  one-way ANOVA with the Dunnett post-hoc test). The observed increase in hydrodynamic diameter is in agreement with previously published reports,<sup>[18]</sup> though we note that interactions of PEG with the LbL film that may cause some small amount of particle aggregation could also contribute to size increase. Additionally, the change in zeta potential was expected owing to the incorporation of a neutral polymer (PEG) capable of shielding coulombic interactions on the negative nanoparticle surface. We had initially chosen to investigate whether the addition of PEG pendant chains would be required to guard against colloidal destabilization owing to the addition of the biosensor peptides, which are relatively hydrophobic; however, size and zeta characterization of the various formulations ultimately indicated PEG was not necessary for stability.

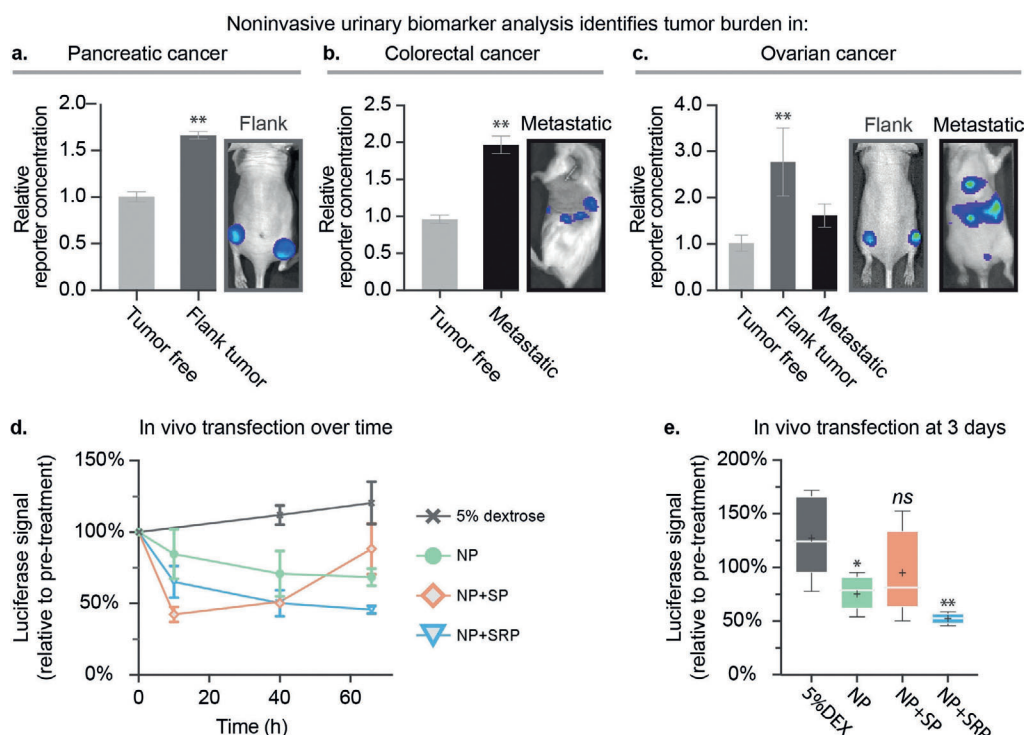
To confirm that diagnostic utility was preserved, LbL NPs were modified with biosensor and PEG or biosensor, iRGD, and PEG to yield NP + SP and NP + SRP, respectively. These NPs were then exposed to recombinant MMP9 ( $12.5 \text{ nM}$ ) to verify protease-induced cleavage of the reporter fragment from the NP.<sup>[19]</sup> The MMP9-digested NPs were pelleted by centrifugation and the supernatant was analyzed for the peptide reporter fragment (PRF) using an enzyme-linked immunosorbent assay (ELISA) (Figure 1c). The results indicated that the biosensor on the surface of the LbL NP is still accessible to the MMP9 protein, providing detectable peptide signal in the supernatant for both NP + S and NP + SR.



**Figure 1.** Click-functionalized LbL NPs remain stable and exhibit protease-responsive behavior. a) Diameter, polydispersity, and b) zeta potential of click-modified LbL NPs. c) In vitro cleavage of biosensor LbL NPs in the presence of MMP9. Nanoparticle modifications are abbreviated as follows: biosensor peptide (+S), iRGD targeting peptide (+R), and PEG pendants (+P). Error bars represent standard deviation, and statistical analysis of panel b uses one-way ANOVA with a Dunnett post-hoc test and alpha of 0.05.

After initial validation of stability and function, the diagnostic capabilities of the biosensor LbL NPs were assessed across mouse models of pancreatic (subcutaneous flank tumor), colorectal (metastatic model), and ovarian cancer (flank and metastatic models). We systemically tail-vein injected NP + SP at an injection concentration of 0.5–1 mg mL<sup>-1</sup> NP to provide a dosage of biosensor peptide equivalent to 0.03 mg kg<sup>-1</sup> (based on an average mouse weight of 25 g). This concentration was chosen to match the NP concentration used for subsequent gene silencing experiments. One hour after injection, urine from individual mice was collected and analyzed using ELISA. Tumor-free mice were also injected with the same particles as a control, and their urine was used to determine baseline PRF concentrations in the urine. We detected significantly enriched PRF concentration in the urine of tumor-bearing mice relative to tumor-free mice across all three tested tumor models, demonstrating the broad applicability of our theranostic LbL NP platform. (Figure 2 a–c). These results indicate that *in vivo* diagnostic capabilities of the peptide biosensor are preserved after conjugation to LbL NPs. Click conjugation of urinary-based reporter peptides can therefore facilitate the development of advanced LbL nanotheranostics capable of sensing protein signatures in the tumor microenvironment, all without the need of cumbersome imaging infrastructure.

Having confirmed the diagnostic capabilities of the biosensor LbL NP across three different models of cancer, we decided to focus further platform development efforts on ovarian cancer owing to its high mortality rate, which stems from poor diagnostic capabilities and resistance to traditional cancer treatments, such as platinum-based therapies.<sup>[20]</sup> We investigated the ability to concurrently deliver siRNA *in vivo* in a flank xenograft model of ovarian cancer transfected to stably express firefly luciferase (Figure 2 d,e). For this experiment, biosensor LbL NPs were prepared using firefly luciferase siRNA, and the outer pPLD layer was click-conjugated with biosensor, iRGD, and PEG to yield NP + SP and NP + SRP. These particles were then systemically administered to mice to provide a one-time siRNA dosage of 0.5 mg kg<sup>-1</sup>. We found that unconjugated LbL NPs were able to mediate knockdown of the luciferase gene to 68 ± 6% signal, with a peak effect at 3 days, compared to the control group (5% dextrose), which showed increasing luciferase expression levels over time corresponding to increasing tumor burden. This sustained reduction in luciferase signal demonstrated that our theranostic LbL NP platform is able to effectively traffic to the tumor site and deliver its cargo. We noted that addition of the biosensor and PEG to the NP surface (NP + SP) mediated a more substantial knockdown, decreasing luciferase signal to 51 ± 2% at day 2 (Figure S3). However, these silencing effects dissipated quickly, with



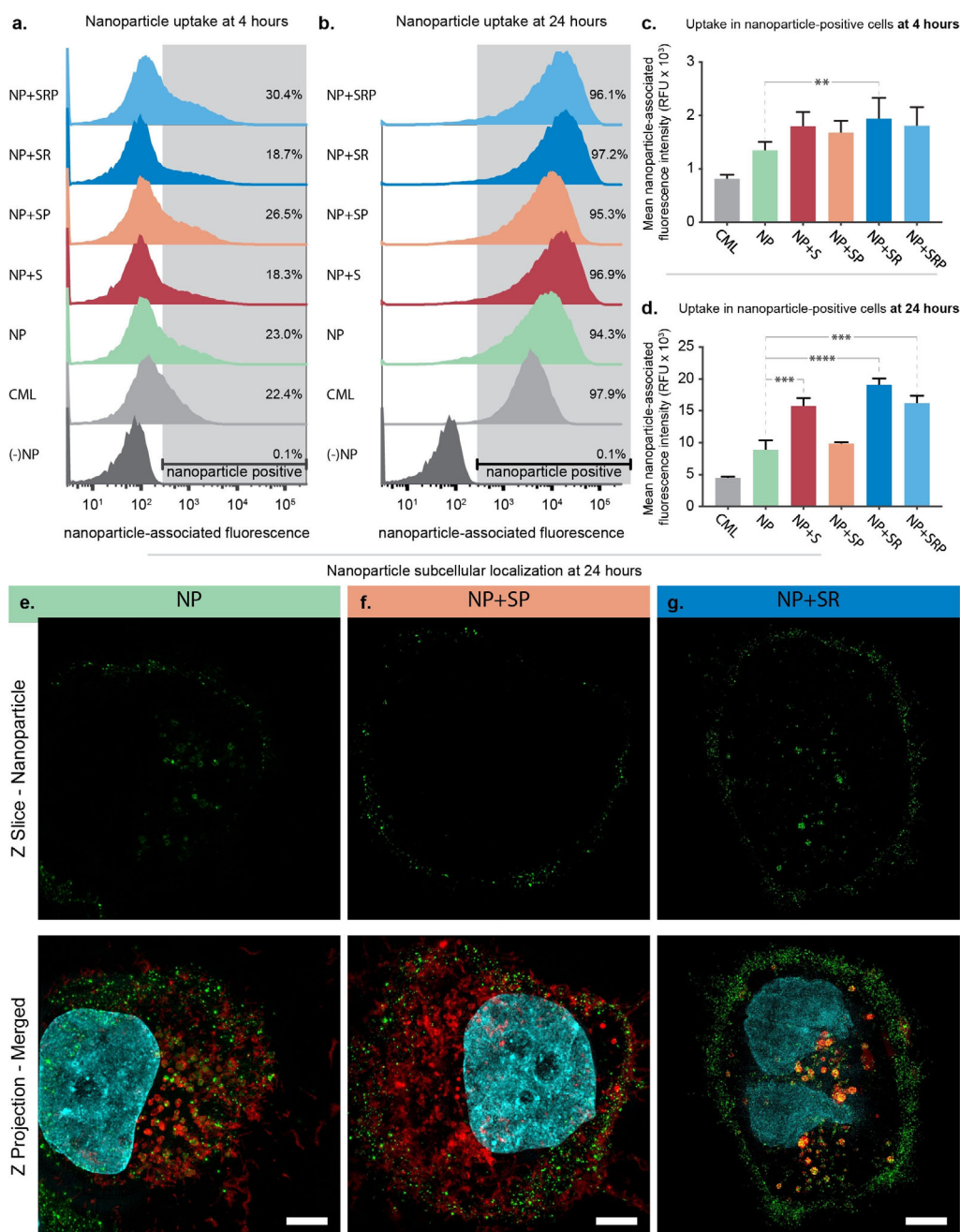
**Figure 2.** Addition of the biosensing peptide onto siRNA-loaded LbL NPs provides a NP capable of simultaneous tumor detection and gene silencing. Analysis of the urine of the mice one hour after injection indicates that theranostic LbL NPs yield significantly elevated levels of the peptide reporter fragment in the urine in a) a pancreatic cancer flank model, b) a metastatic model of colorectal cancer, and c) two models of ovarian cancer. d) Theranostic LbL NPs were intravenously administered through tail vein injection to the subcutaneous flank ovarian cancer model to provide an siRNA dosage of 0.5 mg kg<sup>-1</sup> to silence the model gene luciferase *in vivo*. e) Bioluminescence levels were monitored over three days using an IVIS imaging device, also used to generate the images shown in (a)–(c). Error bars represent SEM, and statistical analysis of (a) and (b) uses Student's *t*-tests, while (c) uses a one-way ANOVA with a Dunnett post-hoc test and alpha of 0.05 and (e) uses a one-way ANOVA with a Tukey post-hoc test and alpha of 0.05.





expression rebounding by the third day ( $88 \pm 17\%$  luciferase signal, relative to pre-treatment). This was in contrast to the sustained silencing observed with the unconjugated LbL NPs. We found that the addition of tumor targeting motifs (NP + SRP) increased both the extent and duration of gene silencing compared to NP + SP. Dosing with NP + SRP mediated a consistent and durable knockdown, decreasing luciferase expression by  $50 \pm 9\%$  and  $46 \pm 3\%$  at two and three days post-treatment, respectively.

To study how NP surface modification impacts particle association with ovarian cancer cells, we performed additional in vitro experiments to probe NP–cell-binding and uptake (Figure 3). We chose to utilize bilayer LbL NPs without siRNA, which consisted of 100 nm carboxylate-modified latex (CML) cores labeled with an infrared fluorescent dye and coated with PLR and pPLD layers to probe the impact of the NP surface chemistry interactions with ovarian cancer cells by flow cytometry. To this end, we synthesized the following



**Figure 3.** Addition of targeting ligands and biosensor peptides improves LbL NP binding to ovarian cancer cells. Flow cytometry was used to assess NP-associated fluorescence of OVCAR8 cells after incubating with NPs for a) 4 and b) 24 h. Analysis of the mean NP-associated fluorescence intensity of the NP-positive cell population at c) 4 and d) 24 h. Error bars represent SEM, and statistical analysis was carried out using a one-way ANOVA with Tukey's post-hoc test and an alpha of 0.05. e) Super resolution microscopy images of OVCAR8 cells incubated with sulfoCy-3 labeled LbL NPs for 24 h. Wheat germ agglutinin (red) was used to stain endosomal membranes (green = NPs, cyan = nuclei). Scale bar = 10  $\mu$ m.

bilayer particles: NP + S, NP + SP, NP + SR, and NP + SRP. The patient-derived high grade serous ovarian cancer cell line OVCAR8 was utilized for these experiments. Cells were incubated with NPs for 4 or 24 h prior to analysis by flow cytometry, with which shifts in the NP-associated fluorescence channel were quantified (Figure 3a–d and Tables S3 and S4). As expected, NP association increased over time, with only 18–30% of cells being NP-positive at 4 h and 94–98% of cells being NP-positive at 24 h. Functionalization of the NPs with iRGD (NP + SR, NP + SRP) led to moderate increases in mean NP-associated fluorescence intensity at both 4 and 24 h, with the most potent trends occurring in the non-PEGylated formulation (NP + SR). This result is expected as the presence of PEG on the NP surface may interfere with iRGD-receptor interactions. Interestingly, the biosensor also appeared to improve uptake of NPs compared to unconjugated LbL NP. Furthermore, while we noted that PEGylation of the NPs increased the total number of NP-positive cells, it depressed the amounts of NPs per cell at both 4 and 24 h compared to the other LbL NP formulations (Figure 3c,d). Additionally, this trend was only statistically significant at 24 h for NP + SP, and addition of iRGD (NP + SRP) mitigated this effect. Overall, these results indicated moderate improvement in NP binding to ovarian cancer cells after conjugation of either the biosensor or iRGD peptides as well as a negative effect on binding after conjugation of PEG.

To further probe how surface functionalization with PEG, iRGD, and biosensor impacts uptake and intracellular trafficking in ovarian cancer cells, we utilized super resolution microscopy to image OVCAR8 cells incubated for 24 h with bilayer NP, NP + SR, or NP + SP wherein the NP core consisted of sulfoCy3-labeled liposomes (Figure 3e–g and Figure S4). We observed that for both NP and NP + SR, a portion of particles reside on the outside of the cell while the remainder was internalized. However, the way in which the particles were internalized appeared to be quite distinct. For the cells incubated with NP, individual particles could be resolved within small vesicles (Figure 3e). For the cells incubated with NP + SR (Figure 3g), particles appeared to co-localize with perinuclear vesicles that seem enlarged relative to those found in Figures 3e,f. This is in contrast to NP + SP, for which the majority of the NPs were found on the cell surface with little internalization. We postulate that this difference could possibly be explained by PEG blocking the interactions between the cell and nanoparticle surface that mediate the uptake observed for NP and NP + SR.

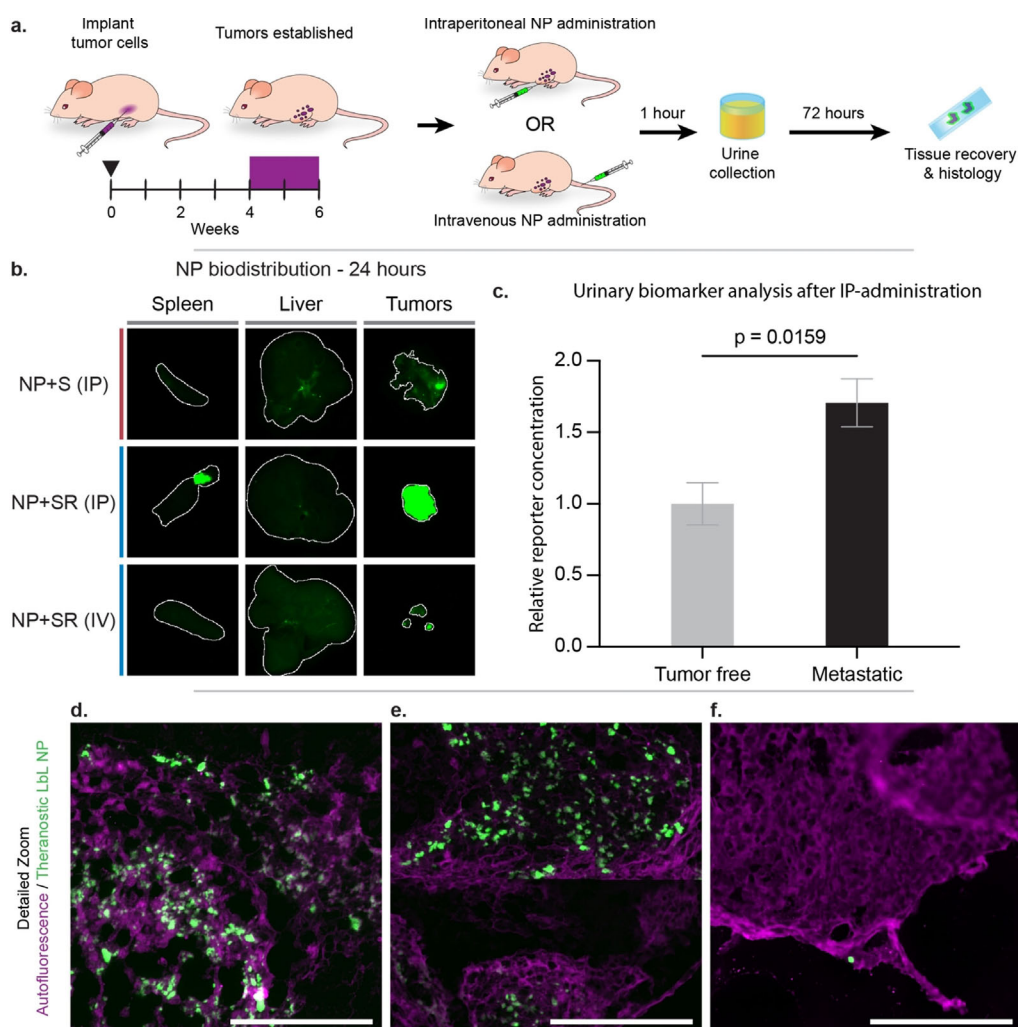
Having gained information about the effects of LbL surface functionalization with biosensor, PEG, and iRGD on interactions with OVCAR8 cells, we then assessed the biodistribution profiles of the biosensor LbL NPs after both intraperitoneal (IP) and intravenous (IV) (tail vein) administration in an orthotopic model of metastatic ovarian cancer 72 h after administration (Figure 4a). We evaluated the IP administration route specifically for its emerging relevance in the management of ovarian cancer patients in the clinic.<sup>[21]</sup> The LbL NPs utilized in this study were constructed from Cy7-labeled liposomal cores coated with PLR/siRNA/PLR/pPLD, and then functionalized further with biosensor (+ S) or biosensor/iRGD (+ SR). PEGylation was omitted for the

reasons discussed previously. Mice were sacrificed 72 h post-injection to determine relative NP levels in the tumor, liver and spleen. We observed more significant penetration of LbL NPs into tumor tissue for IP-administered NPs relative to IV-administered NPs, likely owing to IP-administered particles having a higher concentration in the intraperitoneal space and extended interactions with tumor tissue compared to the IV-administered NPs (Figure 4b and Figures S5 and S6). When comparing tumor accumulation of IP-administered NP + S and NP + SR, there is a significant increase of NP signal observed in the tumor tissue for NP + SR, highlighting potential increased tumor targeting and penetration due to iRGD functionalization, though we note that the method of quantification used was based on tissue surface area, and could be skewed by differences in tumor burden between the two treatment groups. In comparison to IP administration, NP + SR administered IV (at the same dosing and analysis time points as noted for IP above) did not traffic to the tumor as consistently, resulting in reduced NP accumulation there overall. It is important to note that the IV-administered NPs did not appear in the liver or spleen at this time point, which we propose is due to degradation and clearance from these organs, consistent with the biodegradable nature of these NPs. Further analysis of NP accumulation in tissues of interest by cryohistology of the tumors revealed a consistent distribution of NPs throughout the outer surface of the tumors for the IP-injected mice (Figure 4d,e and Figure S7a,b), but less NP signal in the tumors from IV-injected mice (Figure 4f and Figure S7c). Overall, these results demonstrate the tumor-homing ability of the biosensor LbL NPs, especially when administered intraperitoneally, and that these NPs are likely biodegradable and easily cleared from filtration organs.

Because of the improved NP accumulation in tumor tissue of the metastatic ovarian cancer mouse model upon IP injection, we hypothesized that this administration method would result in improved biosensor readout sensitivity compared to the systemic (IV) administration reported in Figure 2. To this end, we collected and analyzed urine from mice injected intraperitoneally with NP + SR for PRF concentration and observed a significant signal increase in the tumor bearing mice ( $p = 0.0159$ ) compared to the control group (Figure 4c). These data suggest that matching the administration method to the disease model is a way to improve diagnostic sensitivity and utility.

## Conclusion

Nanotheranostics provide the capability to monitor and characterize tumors and their response to therapies. This approach has tremendous potential for nanomedicine, as it can be used to identify patients who may benefit the most from particular treatments. Herein, we described a method for incorporating advanced functionality into the LbL NP platform using click chemistry conjugation techniques. Combining RNA interference with biosensing and tumor-homing peptides, we demonstrate  $54 \pm 3\%$  knockdown of a model gene and sensitive detection of tumor burden across three models of cancer, including colorectal, pancreatic, and



**Figure 4.** Theranostic LbL NP biodistribution in a metastatic model of ovarian cancer indicates tumor targeting capabilities, especially using intraperitoneal (IP) administration. a) A metastatic model of ovarian cancer was used to evaluate whether IP-administration of nanoparticles improved biodistribution and sensitivity of the diagnostic function of the theranostic NPs. b) NP accumulation in liver, spleen, and tumors was measured 72 h post-administration using a fluorescent flatbed scanner (Li-COR Odyssey). Tissue autofluorescence was used to generate a region-of-interest (indicated by the white outline) in which to quantify signal from the Cy7-labeled NPs (Figure S6). c) Urine was collected 1-hour post-IP administration of NP + SR and analyzed for the MMP9-sensitive biomarker. d–f) Cryohistology was performed on tumor tissue and imaged using a fluorescent slide scanner to detect tissue autofluorescence (pseudo-colored magenta) and NP signal (pseudo-colored green). These representative images of LbL NP distribution through tumors when administered d,e) intraperitoneally and f) intravenously. Scale bar = 100  $\mu$ m. Error bars represent SEM, and statistical analysis was carried out using an unpaired Student's *t*-test.

ovarian. This approach provides a highly modular system in which biosensing molecules, antifouling agents, and targeting ligands can be readily incorporated onto a highly sophisticated drug carrier. The versatility of the LbL NP provides further levels of modularity in this system, such as the ability to use different RNAs for particular applications as well as the option to include drugs or imaging modalities in the liposomal core. Moreover, biosensor functionalization of these LbL NPs provides the ability to monitor enzymatic signatures with prognostic relevance, a strategy that could be employed to detect changes in specific protease levels and correlate them with disease progress and treatment response over time. Continued development of this platform will offer a route towards dynamic, noninvasive monitoring of disease while simultaneously delivering next-generation therapeutics.

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### Conflict of interest

The authors declare no conflict of interest.

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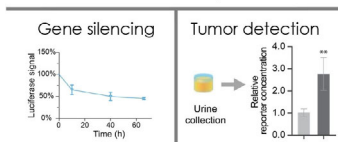
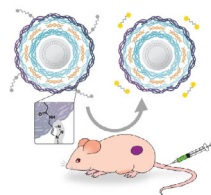
## Research Articles



## Nanotheranostics

N. Boehnke, S. Correa, L. Hao, W. Wang,  
J. P. Straehla, S. N. Bhatia,  
P. T. Hammond\*    

Theranostic Layer-by-Layer Nanoparticles  
for Simultaneous Tumor Detection and  
Gene Silencing



**A layered approach:** A new class of nanotheranostics was created by combining multicomponent features and tumor targeting capabilities of layer-by-layer assembly with functional biosensing peptides. siRNA-loaded nanoparticles functionalized with a synthetic peptide able to monitor MMP9 overexpression in the tumor microenvironment mediated noninvasive urinary-based diagnostics and simultaneous gene silencing.

